

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	M-12473-1D US												
	First Named Inventor or Application Identifier	Barbara A. Haselden; John Lee												
	Title	Two Stage Etching Of Silicon Nitride To Form A Nitride Spacer												
	Express Mail Label No.	EV 411 212 545US												
APPLICATION ELEMENTS <small>See MPEP chapter 600 concerning utility patent application contents.</small>		ADDRESS TO: Commissioner for Patents Box Patent Application Washington, D.C. 20231												
1. <input checked="" type="checkbox"/> Fee Transmittal Form - <i>see page 2 of this form.</i> <small>(Submit an original, and a duplicate for fee processing)</small> 2. Application: <input checked="" type="checkbox"/> Specification: (preferred arrangement set forth below) Descriptive title of the Invention, Cross References to Related Applications, Reference to Microfiche Appendix, Background of the Invention, Brief Summary of the Invention, Brief Description of the Drawings, and Detailed Description (all totaling 19 pages) Appendix(ces) ____, & __ (____ pages) <input checked="" type="checkbox"/> Claim(s) 3 pages <input checked="" type="checkbox"/> Abstract of the Disclosure 1 page 3. <input checked="" type="checkbox"/> Formal Drawing(s) (35 USC 113)(Total Sheets <u>3</u>) 4. Oath or Declaration <input type="checkbox"/> unsigned [Total Pages ____] a. <input type="checkbox"/> Newly executed (original or copy) b. <input checked="" type="checkbox"/> Copy from prior application (37 CFR §1.63(d)) (for continuation/divisional with Box 17 completed) c. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). 5. <input checked="" type="checkbox"/> Incorporation By Reference (useable if Box 4b is checked) The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.		6. <input type="checkbox"/> Microfiche Computer Program Appendix consisting of ____ pages of microfiche containing ____ frames on each page in accompanying envelope. 7. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. <input type="checkbox"/> Computer Readable Copy b. <input type="checkbox"/> Paper Copy (identical to computer copy) c. <input type="checkbox"/> Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 8. <input checked="" type="checkbox"/> Assignment Papers (from prior application) 4 pages 9. <input type="checkbox"/> 37 CFR §3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (combined when there is an _____ with Patent Declaration Assignee) _____ above.) 10. <input type="checkbox"/> English Translation Document (if applicable) 11. <input type="checkbox"/> Information Disclosure Statement (IDS) & <input type="checkbox"/> PTO-1449 Citations/References References not included. Cited in parent application SN 09/451,677 12. <input type="checkbox"/> Preliminary Amendment ____ pages 13. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (should be specifically itemized) 14. Small Entity Status <input type="checkbox"/> Small Entity Statement Enclosed ____ pages <input type="checkbox"/> Statement filed in prior application; and status still proper and desired <input type="checkbox"/> Is no longer claimed. 15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed) 16. <input type="checkbox"/> Other: <input type="checkbox"/> Copy of Petition for Extension of Time filed in parent appln.; <input type="checkbox"/>												
17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information and a preliminary amendment: <input type="checkbox"/> Continuation <input checked="" type="checkbox"/> Divisional of prior application No. <u>10/198,825</u> Filed on <u>July 18, 2002</u> , entitled: <u>Two Stage Etching Of Silicon Nitride To Form A Nitride Spacer</u> PRIOR APPLICATION INFORMATION: <u>Examiner Lisa A. Kilday Group Art Unit 2829</u>														
18. CORRESPONDENCE ADDRESS <table style="width: 100%;"> <tr> <td style="width: 50%;">Customer Number or Bar Code Label</td> <td style="width: 50%; text-align: right;">or <input checked="" type="checkbox"/> Correspondence address below</td> </tr> <tr> <td>Name David S. Park</td> <td style="text-align: right;">Reg. No. 52,094</td> </tr> <tr> <td>Attorneys for Applicant MacPherson Kwok Chen & Heid LLP</td> <td></td> </tr> <tr> <td>Address 1762 Technology Drive, Suite 226</td> <td></td> </tr> <tr> <td>City San Jose State CA Zip Code 95110</td> <td></td> </tr> <tr> <td>Country: United States Telephone (949) 752-7040 Fax (408) 392-9262</td> <td></td> </tr> </table>			Customer Number or Bar Code Label	or <input checked="" type="checkbox"/> Correspondence address below	Name David S. Park	Reg. No. 52,094	Attorneys for Applicant MacPherson Kwok Chen & Heid LLP		Address 1762 Technology Drive, Suite 226		City San Jose State CA Zip Code 95110		Country: United States Telephone (949) 752-7040 Fax (408) 392-9262	
Customer Number or Bar Code Label	or <input checked="" type="checkbox"/> Correspondence address below													
Name David S. Park	Reg. No. 52,094													
Attorneys for Applicant MacPherson Kwok Chen & Heid LLP														
Address 1762 Technology Drive, Suite 226														
City San Jose State CA Zip Code 95110														
Country: United States Telephone (949) 752-7040 Fax (408) 392-9262														

17497 U.S. PTO 10/800190



031204

Please type a plus sign (+) inside this box =>



19. Fee calculations.

CLAIMS (Number Filed)	(1) FOR	(2)		(3) NUMBER EXTRA		(4) RATE		(5) CALCULATIONS
11	TOTAL CLAIMS (37 CFR 1.16(c))	-20	=		x	\$18	=	\$ 0.00
2	INDEPENDENT CLAIMS (37 CFR 1.16(b))	-3	=		x	\$84	=	\$00.00
<input type="checkbox"/>	MULTIPLE DEPENDENT CLAIMS (if applicable) (37 CFR 1.18(d))				+	\$280.00	=	
						BASIC FEE (37 CFR 1.16(a))	=	\$ 770.00
						Total of above Calculations	=	\$770.00
						Reduction by 50% for filing by small entity (Note 31 CFR 1.9, 1.27, 1.28).	=	
						TOTAL	=	\$770.00

20. **FEES:** The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. **50-2257:**

- a. ☒ Fees required under 37 CFR 1.16. (U.S. Application Filing Fees)
- b. ☒ Fees required under 37 CFR 1.17. (Conditional Extension of Time Fees)
- c. ☐ Fees required under 37 CFR 1.18. (Patent Issue Fees)

21. ☐ Other: _____

NOTE: The prior application's correspondence address will carry over to this UPA UNLESS a new correspondence address is provided below.

22. NEW CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label				New correspondence address below			
NAME	David S. Park						
ADDRESS	1762 Technology Drive, Suite 226						
CITY	San Jose	STATE	CA	ZIP CODE	95110		
COUNTRY	USA	TELEPHONE	949-752-7040	FAX	408-392-9262		

23. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

MacPherson Kwok Chen & Heid LLP 1762 Technology Drive, Suite 226 San Jose, CA 95110 Tel. (949) 752-7040 Fax. (408) 392-9262	
Date:	3/12/04
Name	David S. Park Reg. No. 52,094
Signature	
Express Mail Label No.	EV 411 212 545US